

LEADERS IN **CORPORATE TRUSTEE SERVICES**



*Trusted partnerships for
the benefit of investors*



Experience you can trust

Trustees Executors Limited is New Zealand's oldest and most experienced trustee company. We have been providing professional trustee, financial and private estate services to families and corporates since 1881.

We owe our continued success to the collaborative nature of the relationships we build and the delivery of expert advice and services that go beyond simply meeting the needs of our trusted clients.

Our Corporate Trustee Services (CTS) division was established in 1972 and now has one of the largest teams in the business. We are licensed under the Financial Markets Supervisors Act 2011 to act as a licensed Supervisor and are responsible for supervising more than \$90bn in investor funds.

The quality of our client portfolio reflects the strong reputation we have in the market and includes some of New Zealand's leading financial institutions, companies, banks, iwi and fund managers.

Our goal is to deliver a superior client centric and always evolving supervision model, with pragmatic regulatory leadership that protects the interests of investors and provides value add service to our clients.

“ WE REMAIN INDEPENDENT SO
THAT WE CAN MAKE UNBIASED
AND IMPARTIAL DECISIONS FOR
THE BENEFIT OF INVESTORS ”



What we do

Trustees Executors acts as supervisor and corporate trustee for a wide range of securities and schemes from the following sectors:

- Managed Investment Schemes.
- Non-Bank Deposit Takers.
- Debt Issues.
- Asset Securitisations.
- Retirement Villages.
- Custodial Services.

We recognise our important role in ensuring well-regulated financial markets. Our experienced team of professionals aim to achieve best practice standards of governance and compliance with our obligations as a licensed Supervisor and to add value to clients by focusing on:

- An effective supervisory framework which focuses on risks to investors.
- Active involvement with other supervisors and market participants to raise the conduct bar for the benefit of investors.
- Building trusted relationships with regulators (Financial Markets Authority and Reserve Bank of New Zealand).
- Recruitment and training to ensure staff have the appropriate skills, knowledge and attributes to perform their supervisory role to the highest standard.

How we Operate

A STRONG AND INDEPENDENT CTS BOARD OF DIRECTORS

The CTS division is separated from the rest of Trustees Executors' business through governance and operational independence. An independent CTS Board consisting of directors with expertise in law, financial services and accounting, provides oversight of the CTS function and reports to the main Company Board.

The CTS Board has a primary focus on:

- Operational activity of the supervisory function.
- Client matters.
- Protecting investor interests.

WHAT YOU CAN EXPECT FROM US

We assign an experienced team to each of our clients to ensure they have a dedicated point of contact and access to our services.

We pride ourselves on our values and collaborative culture, our robust and effective communication, sound governance and the relationships we build with our clients and regulators, as well as our transparency and openness with the market.

YOU CAN EXPECT US TO:

- Help you comply with relevant financial markets obligations.
- Share insights into compliance, risk and operational best practice.
- Discharge our supervisory responsibilities effectively and efficiently.
- Deliver a high level of service and commitment to you.

We take pride in being the "subject matter expert" and a trusted adviser/partner to our clients' business.

Why Choose Trustees Executors?

PARTNERSHIP FOCUSED

Our advantage is the long-standing relationships we have fostered with a broad range of providers and our ability to add value in meaningful ways. We have built a large portfolio of boutique clients, partnering with them from start-up and supporting their growth into the large organisations they are today.

OTHER VALUE ADD SERVICES

Client engagement and robust supervision is at the core of everything we do. Trustees Executors can offer a suite of value add services we believe can deliver the most benefit to our clients (and their investors).

This includes the development of tools and processes such as;

- Entity and sector risk assessments.
- Monitoring framework and thematic reviews.
- Regular client meetings.
- Regulatory engagement and updates.
- Risk and compliance guidance.
- Thought leadership and marketing events.

FOR MORE INFORMATION, PLEASE CONTACT:



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